

Patterns in Permutations

Lara Pudwell  Valparaíso
University
faculty.valpo.edu/lpudwell

Valparaíso University Mathematics Colloquium
March 19, 2021

Definition

A **permutation** π of length n is an ordered list of the numbers $1, 2, \dots, n$. \mathcal{S}_n is the set of all permutations of length n .

$$\mathcal{S}_1 = \{1\}$$

$$\mathcal{S}_2 = \{12, 21\}$$

$$\mathcal{S}_3 = \{123, 132, 213, 231, 312, 321\}$$

$$|\mathcal{S}_n| = n \cdot (n-1) \cdot (n-2) \cdots 1 = n!$$

Note

Permutation $\pi = \pi_1\pi_2 \cdots \pi_n$ is often visualized by plotting the points (i, π_i) in the Cartesian plane.



123



132



213



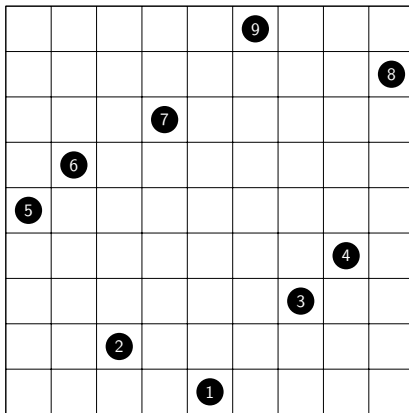
231



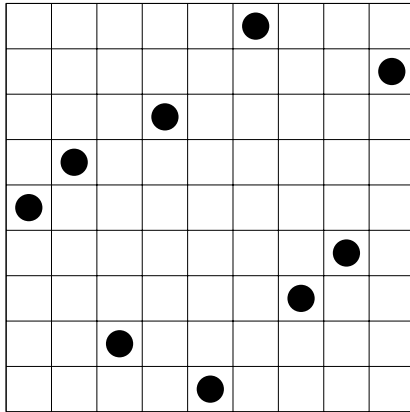
312

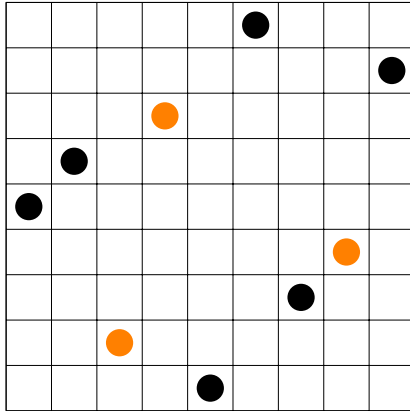


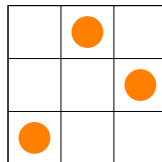
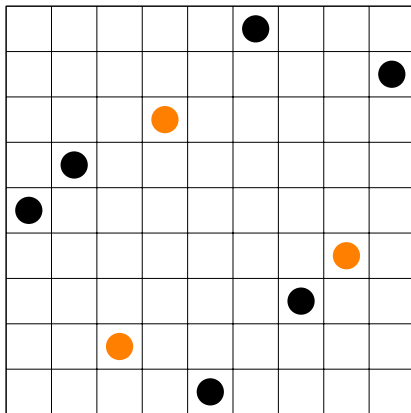
321



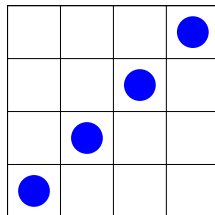
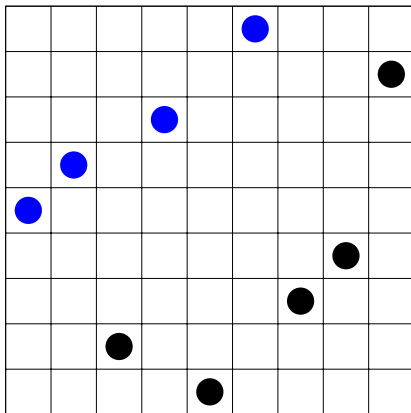
$$\pi = 562719348$$



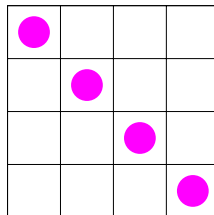
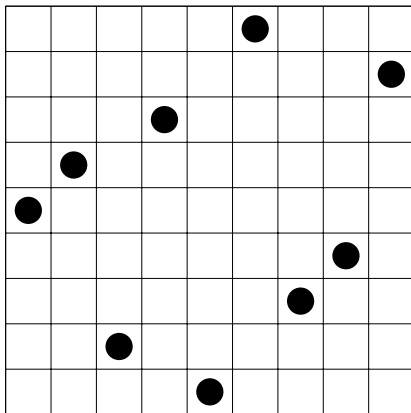




562719348 contains the pattern 132



562719348 **contains** the pattern 1234



562719348 avoids the pattern 4321

Big question

How many permutations of length n contain the permutation ρ ?


Or, alternatively...

Big question

How many permutations of length n avoid the permutation ρ ?

(depends on what ρ is!)

Question

How many permutations of length n avoid the permutation ?

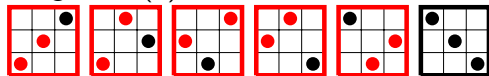
Length 1? (1)



Length 2? (1)




Length 3? (1)



The decreasing permutation is the only permutation of length n that avoids 12.

Similar: the increasing permutation is the only permutation of length n that avoids 21.

Question

How many permutations of length n avoid the permutation ?

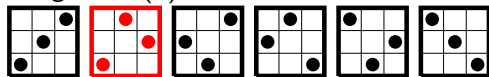
Length 1? (1)




Length 2? (2)



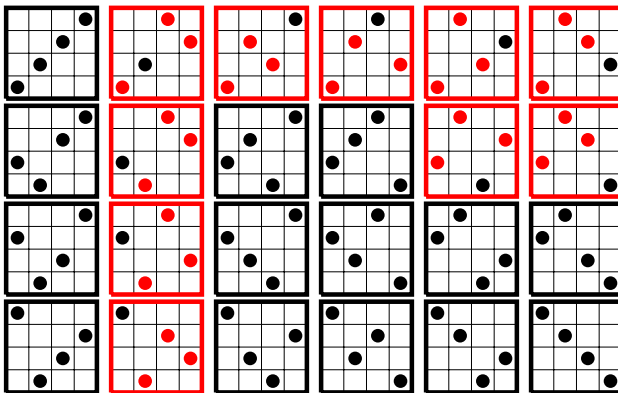
Length 3? (5)




Question

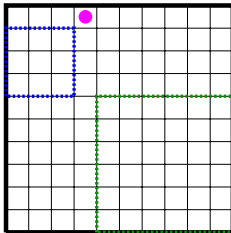
How many permutations of length n avoid the permutation ?

Length 4? (14)



Question

How many permutations of length n avoid the permutation ? (C_n)



Answer: $C_0 = 1$, $C_1 = 1$, and for larger n :

$$C_n = C_0 C_{n-1} + C_1 C_{n-2} + \cdots + C_{n-1} C_0 = \sum_{i=1}^n C_{i-1} C_{n-i}$$

1, 1, 2, 5, 14, 42, 132, ... (Catalan numbers!)

0 1 3 6 2 7
: 13
: 20
23 : 12
10 22 11 21

THE ON-LINE ENCYCLOPEDIA
OF INTEGER SEQUENCES®

founded in 1964 by N. J. A. Sloane

Hints

Search: **catalan**

Displaying 1-10 of 3978 results found.

page 1 [2](#) [3](#) [4](#) [5](#) [6](#) [7](#) [8](#) [9](#) [10](#) ... [398](#)

Sort: [relevance](#) | [references](#) | [number](#) | [modified](#) | [created](#) Format: [long](#) | [short](#) | [data](#)

A000108

Catalan numbers: $C(n) = \text{binomial}(2n,n)/(n+1) = (2n)!/(n!(n+1)!)$.

(Formerly M1459 N0577)

$$\begin{array}{r} +20 \\ 3438 \end{array}$$

```
1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16796, 58786, 208012, 742900, 2674440, 9694845, 35357670,
129644790, 477638700, 1767263190, 6564120420, 24466267020, 91482563640, 343059613650,
1289904147324, 4861946401452, 18367353072152, 69533550916004, 263747951750360, 1002242216651368,
3814986502092304 (list: graph: refs: listen: history: text: internal format)
```

OFFSET

9.3

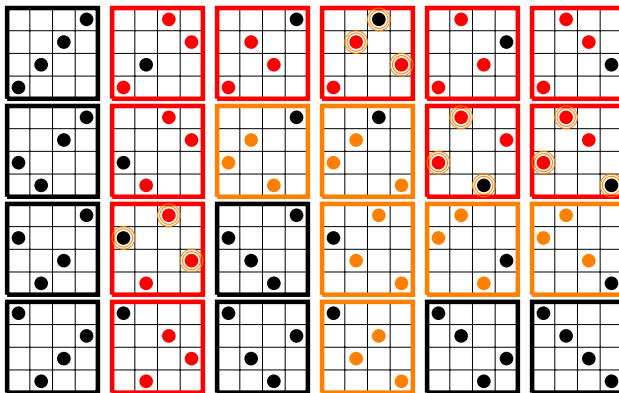
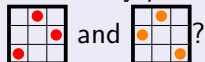
COMMENTS

Also called Segner numbers.

The solution to Schroder's first problem. A very large number of combinatorial interpretations are known - see references, esp. Stanley, Enumerative Combinatorics, Volume 2. This is probably the longest entry in the OEIS, and rightly so.

Question

How many permutations of length n avoid the permutations



Question

How many permutations of length n avoid the permutations

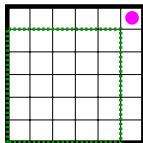
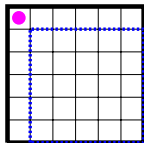


and



? (T_n)

or

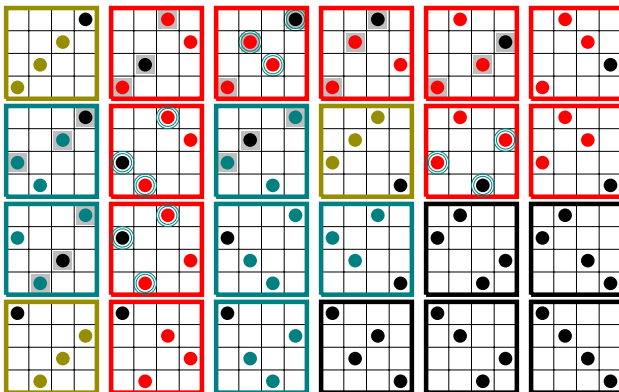
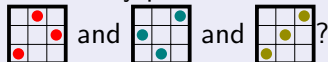


Answer: $T_1 = 1$ and $T_n = T_{n-1} + T_{n-1} = 2T_{n-1}$, so...

$$T_n = 2^{n-1}.$$

Question

How many permutations of length n avoid the permutations



Question

How many permutations of length n avoid the permutations



and

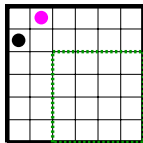
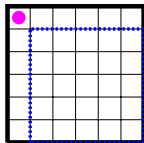


and



? (F_n)

or



Answer: $F_0 = F_1 = 1$ and for larger n ,

$$F_n = F_{n-1} + F_{n-2}.$$

1, 1, 2, 3, 5, 8, 13, ... (Fibonacci numbers!)

How many permutations of length n avoid the pattern...

- 12? 1
- 132? (Catalan)
- 132 and 231? 2^{n-1}
- 132 and 213 and 123? (Fibonacci)
- 1234? 1, 1, 2, 6, 23, 103, 513, 2761, ... (Gessel, 1990)
- 1342? 1, 1, 2, 6, 23, 103, 512, 2740, ... (Bóna, 1997)
- 1324? 1, 1, 2, 6, 23, 103, 513, 2762, ... (open question!)

Why *avoid* patterns?



Stack Operations

- *push* – first element of input to top of stack
- *pop* – top element of stack to end of output

Stack Operations

- *push* – first element of input to top of stack
- *pop* – top element of stack to end of output

Input: 21534



Output:

Input: 1534



Output:

Input: 534



Output:

Input: 534



Output: 1

Input: 534



Output: 12

Input: 34



Output: 12

Input: 4



Output: 12

Input: 4



Output: 123

Input:



Output: 123

Input:



Output: 12345

Stack Operations

- *push* – first element of input to top of stack
- *pop* – top element of stack to end of output

What about 231?

Input: 231



Output:

Input: 31



Output:

Input: 31



Output: 2

Input: 231



Output:

Input: 31



Output:

Input: 1



Output:

Theorem (Knuth, 1968)

A permutation is stack sortable if and only if it avoids 231.

Definition

Given permutations ρ and π , $c(\rho, \pi)$ is the number of copies of ρ in π .

Question

Given n and ρ , what is the largest possible value of $c(\rho, \pi)$ if $\pi \in \mathcal{S}_n$?

Example: $n = 3$ and $\rho = 12$



$$c(12, 123) = 3$$



$$c(12, 132) = 2$$



$$c(12, 213) = 2$$



$$c(12, 231) = 1$$



$$c(12, 312) = 1$$



$$c(12, 321) = 0$$

$$\max_{\pi \in \mathcal{S}_3} c(12, \pi) = 3$$

Counting Question

Given n and ρ , what is the largest possible value of $c(\rho, \pi)$ if $\pi \in \mathcal{S}_n$?

Long-run Behavior Question

Given ρ , what is $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} c(\rho, \pi)}{\binom{n}{|\rho|}}?$

$d(\rho)$ is called the **packing density** of ρ .

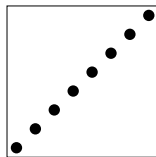
For all permutations ρ , $d(\rho)$ exists.

Long-run Behavior Question

Given ρ , what is $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} c(\rho, \pi)}{\binom{n}{|\rho|}}$?

- $d(12 \cdots m) = 1$
- $d(132) = 2\sqrt{3} - 3$
- $d(1243) = d(2143) = \frac{3}{8}$
- $d(1432) \approx 0.42357$
(root of a degree 3 polynomial)
- $d(1324)? d(1342)? d(2413)?$
conjectured but open!

e.g.



contains $\binom{8}{3}$ copies of 123

\mathcal{A}_n is the set of permutations where $\pi_1 < \pi_2 > \pi_3 < \pi_4 \cdots$

Examples:

1324 1423 2314 2413 3412

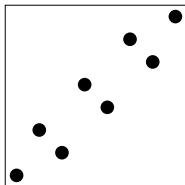
Revised Counting Question

Given n and ρ , what is the largest possible value of $c(\rho, \pi)$ if $\pi \in \mathcal{A}_n$?

Known:

$$\lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} c(\rho, \pi)}{\binom{n}{|\rho|}} = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{A}_n} c(\rho, \pi)}{\binom{n}{|\rho|}}$$

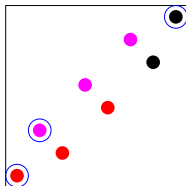
Packing 123



$1\ 32\ 54\ 76\cdots$ is the alternating permutation of length n with the most copies of 123.

Packing 123

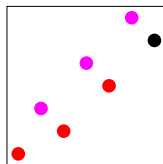
n even



$\frac{n}{2} - 1$ layers of size 2

vs.

n odd



$\frac{n-1}{2}$ layers of size 2

Copies of 123 can use:

three layers of size 2

two layers of size 2

one layer of size 2

three layers of size 2

two layers of size 2

Counting Sequences

Let $a(n)$ be the number of copies of 123 in 1 32 54 76 \dots .

$$a(n) = \begin{cases} 2\left(\frac{n}{2} - 1\right) + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, \dots

Counting Sequences

Let $a(n)$ be the number of copies of 123 in $1\ 32\ 54\ 76\ \dots$.

$$a(n) = \begin{cases} 2\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, ...

A099956	Atomic numbers of the alkaline earth metals.	9
4, 12, 20, 38, 56, 88	(list ; graph ; refs ; listen ; history ; text ; internal format)	
OFFSET	1,1	
LINKS	Table of n, a(n) for n=1..6.	
EXAMPLE	12 is the atomic number of magnesium.	
CROSSREFS	Cf. A099955 , alkali metals; A101648 , metalloids; A101647 , nonmetals (except halogens and noble gases); A097478 , halogens; A018227 , noble gases; A101649 , poor metals.	
	Sequence in context: A057317 A008068 A008183 * A301066 A008092 A316299	
	Adjacent sequences: A099953 A099954 A099955 * A099957 A099958 A099959	
KEYWORD	nonn,fini,full	
AUTHOR	Parthasarathy Nambi , Nov 12 2004	
STATUS	approved	

Counting Sequences

Let $a(n)$ be the number of copies of 123 in $1\ 32\ 54\ 76\ \dots$.

$$a(n) = \begin{cases} 2\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, ...

[A168380](#) Row sums of [A168281](#). +20
14

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, 1140, 1340, 1540, 1782, 2024, 2312, 2600, 2938, 3276, 3668, 4060, 4510, 4960, 5472, 5984, 6562, 7140, 7788, 8436, 9158, 9880, 10680, 11480, 12362, 13244, 14212, 15180, 16238, 17296, 18448, 19600, 20850, 22100 ([list](#); [graph](#); [refs](#); [listen](#); [history](#); [text](#); [internal format](#))

OFFSET 1,1

COMMENTS The atomic numbers of the augmented alkaline earth group in Charles Janet's spiral periodic table are 0 and the first eight terms of this sequence (see Stewart reference). - [Alonso del Arte](#), May 13 2011

LINKS Vincenzo Librandi, [Table of n, a\(n\) for n = 1..10000](#)
 Stewart, Philip, [Charles Janet: unrecognized genius of the Periodic System](#).
 Foundations of Chemistry (2010), p. 9.
[Index entries for linear recurrences with constant coefficients](#), signature (2,1,-4,1,2,-1).

FORMULA $a(n) = 2 \cdot A005993(n-1)$.
 $a(n) = (n+1) \cdot (3 + 2 \cdot n^2 + 4 \cdot n - 3 \cdot (-1)^n) / 12$.
 $a(n+1) - a(n) = A093907(n) = A137583(n+1)$.
 $a(2n+1) = A035597(n+1)$ $a(2n) = A002492(n)$.

Alkaline Earth Metals (Group 2)

Group Period →	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	1 H																	2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	57 La *	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	89 Ac *	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
				* 58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu	
				* 90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr	

A little chemistry...

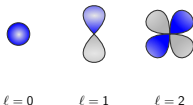
- *Quantum numbers* describe trajectories of electrons.

- ▶ n (principal number) determines the electron shell

$$n = 1, 2, 3, \dots$$

- ▶ ℓ (orbital angular momentum) determines the shape of the orbital

$$0 \leq \ell \leq n - 1$$

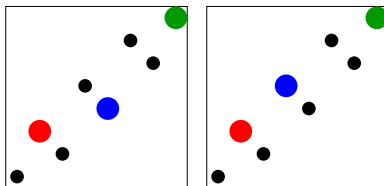


- ▶ m (magnetic number) determines number of orbitals and orientation within shell

$$-\ell \leq m \leq \ell$$

- ▶ Two possible spin numbers for each choice of (n, ℓ, m)

Observation: copies of 123 come in pairs.



Given a copy xyz of 123 where y is even, $x(y+1)z$ is also a copy of 123.

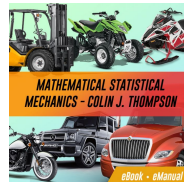
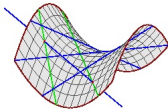
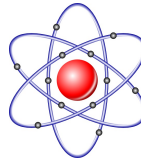
We will assign a tuple of integers to each such pair.

xyz corresponds to the tuple (n, ℓ, m) where...

- $|m|$ is the layer where x is found (count layers starting with 0).
- m is negative if we use the smaller entry in the layer as x , positive if we use the larger entry.
- $\ell + 1$ is the layer where y is found.
- $n + \ell + 3 = z$.

Example: 1 32 54 76 (layer 0: 1, layer 1: 32, layer 2: 54, layer 3: 76)

copies	tuple	copies	tuple	copies	tuple
124,134	(1,0,0)	146,156	(2,1,0)	147,157	(3,1,0)
125,135	(2,0,0)	246,256	(2,1,-1)	247,257	(3,1,-1)
126,136	(3,0,0)	346,356	(2,1,1)	347,357	(3,1,1)
127,137	(4,0,0)				





There are **365 statistics** on [Permutations](#) in the database. There are possibly some more [waiting for verification](#).

[St000001](#) The number of reduced words for a permutation.

[St000002](#) The number of occurrences of the pattern 123 in a permutation.

[St000004](#) The major index of a permutation.

[St000007](#) The number of saliances of the permutation.

[St000018](#) The number of inversions of a permutation.

[St000019](#) The cardinality of the support of a permutation.

[St000020](#) The rank of the permutation.

[St000021](#) The number of descents of a permutation.

[St000022](#) The number of fixed points of a permutation.

[St000023](#) The number of inner peaks of a permutation.

[St000028](#) The number of stack-sorts needed to sort a permutation.

[St000029](#) The depth of a permutation.

[St000030](#) The sum of the descent differences of a permutations.

[St000031](#) The number of cycles in the cycle decomposition of a permutation.

[St000033](#) The number of permutations greater than or equal to the given permutation in (strong) Bruhat order.

For further reading...

- Miklos Bóna, *Combinatorics of Permutations*, Chapman & Hall, 2004.
- Donald Knuth, *The Art of Computer Programming: Volume 1*, Addison Wesley, 1968.
- Lara Pudwell, From permutation patterns to the periodic table, *Notices of the American Mathematical Society*. **67.7** (2020), 994–1001.
- The On-Line Encyclopedia of Integer Sequences at oeis.org.
- FindStat at findstat.org

Thanks for listening!

slides at faculty.valpo.edu/lpudwell

email: Lara.Pudwell@valpo.edu