

# Packing patterns in restricted permutations

Lara Pudwell



Valparaiso  
University

`faculty.valpo.edu/lpudwell`

New York Combinatorics Seminar  
December 11, 2020

# Permutations

## Definition

A *permutation*  $\pi$  of length  $n$  is an ordered list of the numbers  $1, 2, \dots, n$ .  $\mathcal{S}_n$  is the set of all permutations of length  $n$ .

$\pi$  is often visualized by plotting the points  $(i, \pi_i)$  in the Cartesian plane.



123



132



213



231

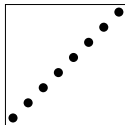


312

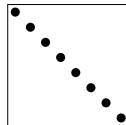


321

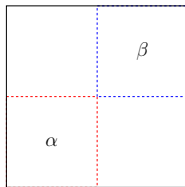
# Permutation Constructions



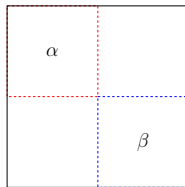
$$I_n = 12 \cdots n$$



$$J_n = n \cdots 21$$



$$\alpha \oplus \beta$$



$$\alpha \ominus \beta$$

# Permutation Patterns

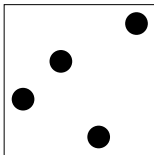
## Definition

$\pi \in \mathcal{S}_n$  contains  $\rho \in \mathcal{S}_m$  as a pattern if there exist

$1 \leq i_1 < i_2 < \dots < i_m \leq n$  such that  $\pi_{i_a} < \pi_{i_b}$  iff  $\rho_a < \rho_b$ .

If  $\pi$  doesn't contain  $\rho$ , we say  $\pi$  avoids  $\rho$  and we write  $\pi \in \mathcal{S}_n(\rho)$ .

Example:



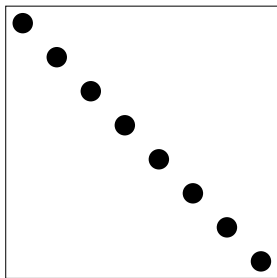
$$\pi = 2314 \in \mathcal{S}_4(321).$$

# Pattern Avoidance

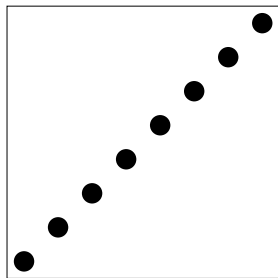
Let  $s_n(\rho) = |\mathcal{S}_n(\rho)|$ .

## Theorem

For  $n \geq 0$ ,  $s_n(12) = s_n(21) = 1$ .



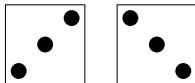
$\mathcal{S}_8(12)$



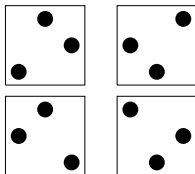
$\mathcal{S}_8(21)$

# Pattern Avoidance Symmetries

$$s_n(123) = s_n(321)$$



$$s_n(132) = s_n(213) = s_n(231) = s_n(312)$$



# Pattern Avoidance

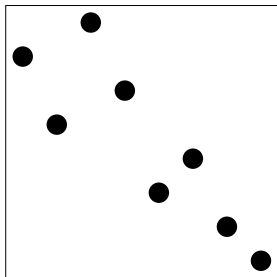
## Theorem

If  $\rho \in \mathcal{S}_3$ , then  $s_n(\rho) = \frac{\binom{2n}{n}}{n+1}$ .

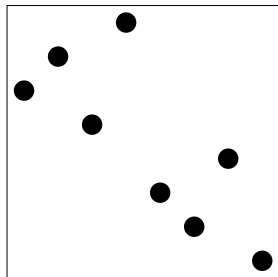
# Pattern Avoidance

## Theorem

If  $\rho \in \mathcal{S}_3$ , then  $s_n(\rho) = \frac{\binom{2n}{n}}{n+1}$ .



A member of  $\mathcal{S}_8(123)$



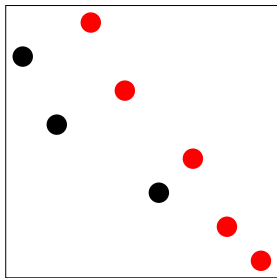
A member of  $\mathcal{S}_8(132)$



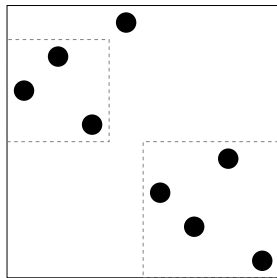
# Pattern Avoidance

## Theorem

If  $\rho \in \mathcal{S}_3$ , then  $s_n(\rho) = \frac{\binom{2n}{n}}{n+1}$ .



A member of  $\mathcal{S}_8(123)$



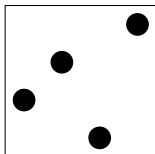
A member of  $\mathcal{S}_8(132)$

# Patterns

## Definition

$\pi \in \mathcal{S}_n$  contains  $\rho \in \mathcal{S}_m$  as a pattern if there exist  $1 \leq i_1 < i_2 < \dots < i_m \leq n$  such that  $\pi_{i_a} < \pi_{i_b}$  iff  $\rho_a < \rho_b$ .

Example:



$\pi = 2314$  contains...

1 copy of 123

2 copies of 213

1 copy of 231

## Pattern Packing

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- Given  $n$  and  $\rho$ , consider  $\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)$

Example:  $n = 3$  and  $\rho = 12$



$$\nu(12, 123) = 3$$



$$\nu(12, 132) = 2$$



$$\nu(12, 213) = 2$$



$$\nu(12, 231) = 1$$



$$\nu(12, 312) = 1$$



$$\nu(12, 321) = 0$$

$$\max_{\pi \in \mathcal{S}_3} \nu(12, \pi) = 3$$

## Pattern Packing

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- Given  $n$  and  $\rho$ , consider  $\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)$

Example:  $n = 3$  and  $\rho = 12$



$$\nu(12, 123) = 3$$



$$\nu(12, 132) = 2$$



$$\nu(12, 213) = 2$$



$$\nu(12, 231) = 1$$



$$\nu(12, 312) = 1$$



$$\nu(12, 321) = 0$$

$$\max_{\pi \in \mathcal{S}_3} \nu(12, \pi) = 3$$

- $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$  (packing density)

## Pattern Packing

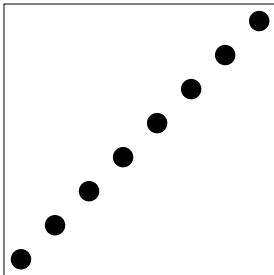
- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$  (packing density)

## Pattern Packing

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$  (packing density)

Known:

- $d(12 \cdots m) = 1$  (Pack  $12 \cdots m$  into  $12 \cdots n$ .)



## Pattern Packing

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$  (packing density)

Known:

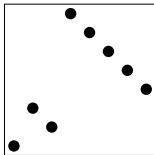
- $d(12 \cdots m) = 1$  (Pack  $12 \cdots m$  into  $12 \cdots n$ .)
- For all  $\rho \in \mathcal{S}_m$ ,  $d(\rho)$  exists.

## Pattern Packing

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$  (packing density)

Known:

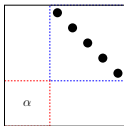
- $d(12 \cdots m) = 1$  (Pack  $12 \cdots m$  into  $12 \cdots n$ .)
- For all  $\rho \in \mathcal{S}_m$ ,  $d(\rho)$  exists.
- If  $\rho$  is layered, then  $\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)$  is achieved by a layered  $\pi$ .





## Packing 132

Known: Since 132 is layered, then  $\max_{\pi \in \mathcal{S}_n} \nu(132, \pi)$  is achieved by a layered  $\pi$ .

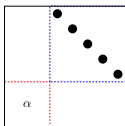


$$\pi = \alpha \oplus J_i$$

$$\nu(132, \pi) = \nu(132, \alpha) + (n - i) \cdot \binom{i}{2}$$

## Packing 132

Known: Since 132 is layered, then  $\max_{\pi \in \mathcal{S}_n} \nu(132, \pi)$  is achieved by a layered  $\pi$ .



$$\pi = \alpha \oplus J_i$$

$$\nu(132, \pi) = \nu(132, \alpha) + (n - i) \cdot \binom{i}{2}$$

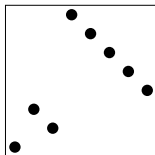
- $\frac{\nu(132, \pi)}{\binom{n}{3}}$  is maximized when  $i = \left(\frac{3}{2} - \frac{\sqrt{3}}{2}\right) n \approx 0.634n$
- Implies  $d(132) = 2\sqrt{3} - 3 \approx 0.464$

## Pattern Packing

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .
- $d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$  (packing density)

Known:

- $d(12 \cdots m) = 1$  (Pack  $12 \cdots m$  into  $12 \cdots n$ .)
- For all  $\rho \in \mathcal{S}_m$ ,  $d(\rho)$  exists.
- If  $\rho$  is layered, then  $\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)$  is achieved by a layered  $\pi$ .
- $d(132) = 2\sqrt{3} - 3 \approx 0.464$



## Notation

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .

Previous work:

$$d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

## Notation

- $\nu(\rho, \pi)$  is the number of occurrences of  $\rho$  in  $\pi$ .

Previous work:

$$d(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

In this talk:

$$d_{\sigma}(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}} \quad d_A(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in A_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$A_n$  is the set of *alternating permutations*,

i.e. those that avoid consecutive 123 patterns and consecutive 321 patterns.

## Packing patterns of length 3

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123							1
132							$2\sqrt{3} - 3$

## Packing patterns of length 3

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0						1
132		0					$2\sqrt{3} - 3$

## Packing patterns of length 3

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132		0					$2\sqrt{3} - 3$

- $I_n = 12 \cdots n$  avoids  $\sigma \in \mathcal{S}_3 \setminus \{123\}$ .



## Packing patterns of length 3

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132		0		$2\sqrt{3} - 3$	$2\sqrt{3} - 3$		$2\sqrt{3} - 3$

- $I_n = 12 \cdots n$  avoids  $\sigma \in \mathcal{S}_3 \setminus \{123\}$ .
- Layered permutations avoid 231 and 312.

## Packing patterns of length 3

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132	?	0	?	$2\sqrt{3} - 3$	$2\sqrt{3} - 3$	?	$2\sqrt{3} - 3$

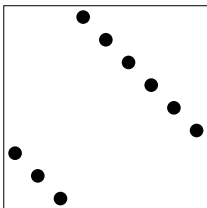
- $I_n = 12 \cdots n$  avoids  $\sigma \in \mathcal{S}_3 \setminus \{123\}$ .
- Layered permutations avoid 231 and 312.
- New:  $d_{123}(132)$ ,  $d_{213}(132)$ , and  $d_{321}(132)$

# Packing 132

...and avoiding 123

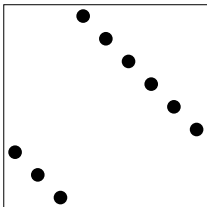
# Packing 132

...and avoiding 123



## Packing 132

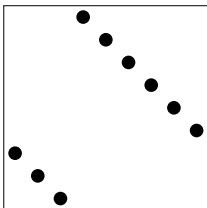
...and avoiding 123



- $J_i \oplus J_{n-i}$  has  $i \binom{n-i}{2}$  copies of 132. ( $J_n = n \cdots 21$ )

## Packing 132

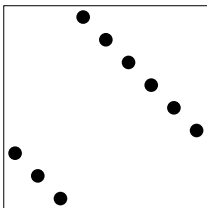
...and avoiding 123



- $J_i \oplus J_{n-i}$  has  $i \binom{n-i}{2}$  copies of 132. ( $J_n = n \cdots 21$ )
- Maximized when  $i = \lfloor \frac{n}{3} \rfloor$ .

## Packing 132

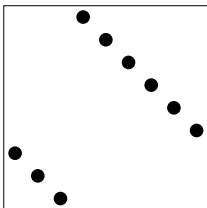
...and avoiding 123



- $J_i \oplus J_{n-i}$  has  $i \binom{n-i}{2}$  copies of 132. ( $J_n = n \cdots 21$ )
- Maximized when  $i = \lfloor \frac{n}{3} \rfloor$ .
- Implies  $d_{123}(132) = \frac{4}{9}$ .

## Packing 132

...and avoiding 123



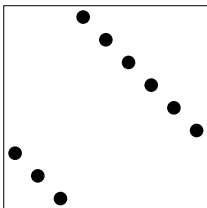
...and avoiding 213

- $J_i \oplus J_{n-i}$  has  $i \binom{n-i}{2}$  copies of 132. ( $J_n = n \cdots 21$ )
- Maximized when  $i = \lfloor \frac{n}{3} \rfloor$ .
- Implies  $d_{123}(132) = \frac{4}{9}$ .



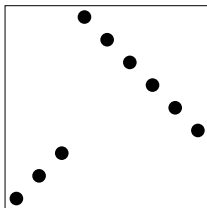
# Packing 132

...and avoiding 123



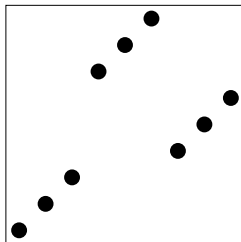
- $J_i \oplus J_{n-i}$  has  $i \binom{n-i}{2}$  copies of 132. ( $J_n = n \cdots 21$ )
- Maximized when  $i = \lfloor \frac{n}{3} \rfloor$ .
- Implies  $d_{123}(132) = \frac{4}{9}$ .

...and avoiding 213



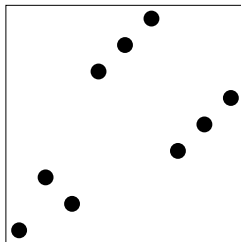
- $I_i \oplus J_{n-i}$  has  $i \binom{n-i}{2}$  copies of 132.
- Maximized when  $i = \lfloor \frac{n}{3} \rfloor$ .
- Implies  $d_{213}(132) = \frac{4}{9}$ .

## Packing 132 and Avoiding 321



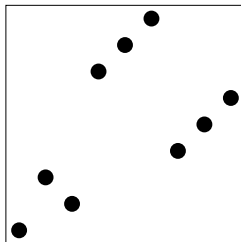
- $I_a \oplus (I_b \ominus I_c)$  has  $a \cdot b \cdot c$  copies of 132.

## Packing 132 and Avoiding 321



- $I_a \oplus (I_b \ominus I_c)$  has  $a \cdot b \cdot c$  copies of 132.
- Replace initial  $I_a$  with a 132-optimizer of length  $a$  to get more copies.

## Packing 132 and Avoiding 321



- $I_a \oplus (I_b \ominus I_c)$  has  $a \cdot b \cdot c$  copies of 132.
- Replace initial  $I_a$  with a 132-optimizer of length  $a$  to get more copies.
- Optimized when  $a = \left(\frac{\sqrt{3}}{2} - \frac{1}{2}\right) n$ ,  $b = c = \left(\frac{3}{4} - \frac{\sqrt{3}}{4}\right) n$ .
- Implies  $d_{321}(132) = \sqrt{3} - \frac{3}{2}$ .

## Recap:

$$d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132	$\frac{4}{9}$	0	$\frac{4}{9}$	$2\sqrt{3} - 3$	$2\sqrt{3} - 3$	$\sqrt{3} - \frac{3}{2}$	$2\sqrt{3} - 3$

## Recap:

$$d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132	$\frac{4}{9}$	0	$\frac{4}{9}$	$2\sqrt{3} - 3$	$2\sqrt{3} - 3$	$\sqrt{3} - \frac{3}{2}$	$2\sqrt{3} - 3$

Or approximately...

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132	0.444	0	0.444	0.464	0.464	0.232	0.464

## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234							1
1432							$\alpha$
2143							$\frac{3}{8}$
1243							$\frac{3}{8}$
1324							$\approx 0.244$
1342							$\approx 0.19658$
2413							$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )

## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234	0						1
1432		0				0	$\alpha$
2143		0	0				$\frac{3}{8}$
1243	0	0					$\frac{3}{8}$
1324	0	0	0				$\approx 0.244$
1342	0	0		0			$\approx 0.19658$
2413		0	0	0	0		$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )



## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234	0	1	1	1	1	1	1
1432		0				0	$\alpha$
2143		0	0				$\frac{3}{8}$
1243	0	0					$\frac{3}{8}$
1324	0	0	0				$\approx 0.244$
1342	0	0		0			$\approx 0.19658$
2413		0	0	0	0		$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )

## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234	0	1	1	1	1	1	1
1432		0		$\alpha$	$\alpha$	0	$\alpha$
2143		0	0	$\frac{3}{8}$	$\frac{3}{8}$		$\frac{3}{8}$
1243	0	0		$\frac{3}{8}$	$\frac{3}{8}$		$\frac{3}{8}$
1324	0	0	0	$\beta$	$\beta$		$\approx 0.244 (\beta)$
1342	0	0		0			$\approx 0.19658$
2413		0	0	0	0		$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )

## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234	0	1	1	1	1	1	1
1432		0		$\alpha$	$\alpha$	0	$\alpha$
2143	$\frac{3}{8}$	0	0	$\frac{3}{8}$	$\frac{3}{8}$		$\frac{3}{8}$
1243	0	0	$\frac{3}{8}$	$\frac{3}{8}$	$\frac{3}{8}$		$\frac{3}{8}$
1324	0	0	0	$\beta$	$\beta$		$\approx 0.244 (\beta)$
1342	0	0		0			$\approx 0.19658$
2413		0	0	0	0		$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )

## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234	0	1	1	1	1	1	1
1432		0		$\alpha$	$\alpha$	0	$\alpha$
2143	$\frac{3}{8}$	0	0	$\frac{3}{8}$	$\frac{3}{8}$		$\frac{3}{8}$
1243	0	0	$\frac{3}{8}$	$\frac{3}{8}$	$\frac{3}{8}$		$\frac{3}{8}$
1324	0	0	0	$\beta$	$\beta$		$\approx 0.244 (\beta)$
1342	0	0		0			$\approx 0.19658$
2413		0	0	0	0		$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )

## Packing patterns of length 4

$$\text{Recall: } d_\sigma(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

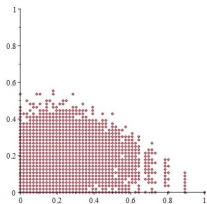
$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234	0	1	1	1	1	1	1
1432	$\frac{27}{64}$	0	$\frac{27}{64}$	$\alpha$	$\alpha$	0	$\alpha$
2143	$\frac{3}{8}$	0	0	$\frac{3}{8}$	$\frac{3}{8}$	$\geq \frac{3}{32}$	$\frac{3}{8}$
1243	0	0	$\frac{3}{8}$	$\frac{3}{8}$	$\frac{3}{8}$	$\geq \frac{3}{16}$	$\frac{3}{8}$
1324	0	0	0	$\beta$	$\beta$	$\geq \gamma$	$\approx 0.244$ ( $\beta$ )
1342	0	0	$\geq \frac{3}{16}$	0	$\geq \frac{3}{16}$	$\geq \delta$	$\approx 0.19658$
2413	$\geq \frac{3}{32}$	0	0	0	0	$\geq \frac{3}{32}$	$\approx 0.10474$

$\alpha$  is the real root of  $x^3 - 12x^2 + 156x - 64$  ( $\approx 0.42357$ )

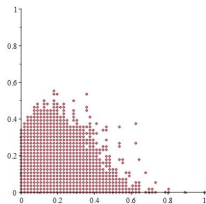
$$\frac{3}{16} = 0.1875, \quad \frac{3}{32} = 0.09375, \quad \frac{27}{64} = 0.421875$$

$$\gamma \approx 0.09450, \quad \delta \approx 0.18825$$

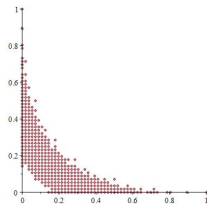
# Joint Distributions



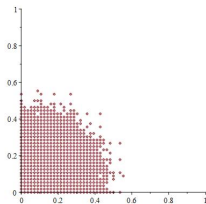
123 vs. 132



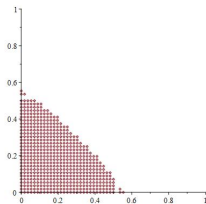
123 vs. 231



123 vs. 321

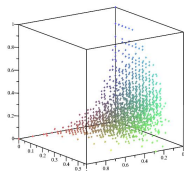


132 vs. 213

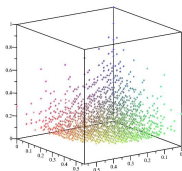


132 vs. 231

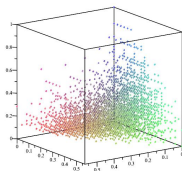
# More Joint Distributions



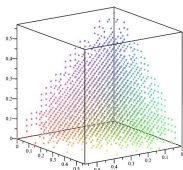
123, 312, 321



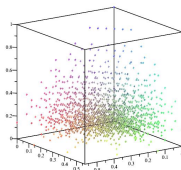
132, 213, 321



213, 231, 321



132, 213, 231



231, 312, 321

# Alternating Permutations

$A_n$  is the set of permutations of length  $n$  avoiding 123 and 321 consecutively.

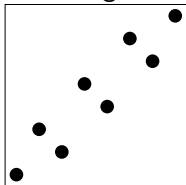
1324   1423   2314   2413   3412  
4231   4132   3241   3142   2143

Goal: Find  $d_A(\rho) = \lim_{n \rightarrow \infty} \frac{\max_{\pi \in A_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$



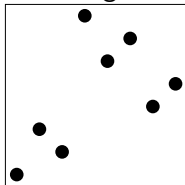
# Alternating packing densities

Packing 123



- $1 \oplus 21 \oplus \dots \oplus 21 (\oplus 1)$
- Implies  $d_A(123) = 1$ .

Packing 132



- Use same ratios for “alternating layers” as 132-optimizer in  $\mathcal{S}_n$ .
- Implies  $d_A(132) = 2\sqrt{3} - 3$ .

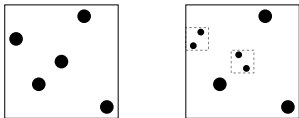
## In fact...

## Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



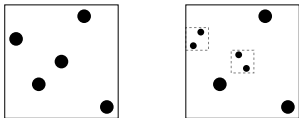
## In fact...

### Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



$$\nu(\rho, \tau_n) \cdot m^k \leq \nu(\rho, \sigma_n)$$

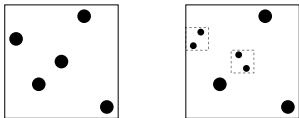
## In fact...

## Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



$$\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n) \cdot m^k \binom{n}{k}}{\binom{n}{k}} \leq \lim_{n \rightarrow \infty} \nu(\rho, \sigma_n)$$

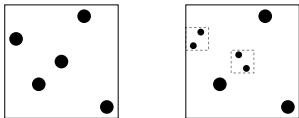
## In fact...

## Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



$$\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n) \cdot m^k \binom{n}{k}}{\binom{n}{k} \binom{mn}{k}} \leq \lim_{n \rightarrow \infty} \frac{\nu(\rho, \sigma_n)}{\binom{mn}{k}}$$

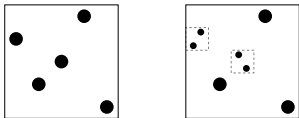
## In fact...

## Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



$$\lim_{n \rightarrow \infty} \frac{d(\rho) \cdot m^k \binom{n}{k}}{\binom{mn}{k}} \leq \lim_{n \rightarrow \infty} \frac{\nu(\rho, \sigma_n)}{\binom{mn}{k}}$$

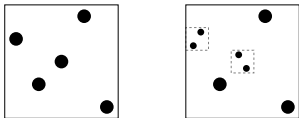
## In fact...

## Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



$$d(\rho) \leq \lim_{n \rightarrow \infty} \frac{\nu(\rho, \sigma_n)}{\binom{mn}{k}}$$

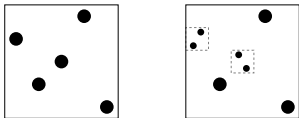
## In fact...

## Proposition

For all  $\rho \in \mathcal{S}_k$ ,  $d(\rho) = d_A(\rho)$ .

Fix  $\tau_n$  such that  $\lim_{n \rightarrow \infty} \frac{\nu(\rho, \tau_n)}{\binom{n}{k}} = d(\rho)$  and let  $m \geq 1$ .

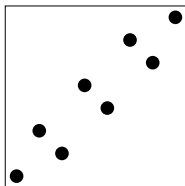
Let  $\sigma_n$  be obtained by inflating each point of  $\tau_n$  with an alternating permutation of length  $m$  or  $m + 1$ .



$$d(\rho) \leq \lim_{n \rightarrow \infty} \frac{\nu(\rho, \sigma_n)}{\binom{mn}{k}} \leq d(\rho)$$



# Packing 123



- $1 \oplus 21 \oplus \dots \oplus 21 (\oplus 1)$
- Implies  $d_A(123) = 1$ .
- $\binom{n}{3}$  subsequences of length 3.
- $\approx c \cdot \binom{n}{2}$  are not 123 patterns.

## Counting Sequences

Let  $a_{123}(n)$  be the number of copies of 123 in  $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$ .

$$a_{123}(n) = \begin{cases} 2\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

## Counting Sequences

Let  $a_{123}(n)$  be the number of copies of 123 in  $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$ .

$$a_{123}(n) = \begin{cases} 2\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, ...

## Counting Sequences

Let  $a_{123}(n)$  be the number of copies of 123 in  $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$ .

$$a_{123}(n) = \begin{cases} 2\binom{n}{2} - 1 + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, ...

A099956	Atomic numbers of the alkaline earth metals.	9
	4, 12, 20, 38, 56, 88 ( <a href="#">list</a> ; <a href="#">graph</a> ; <a href="#">refs</a> ; <a href="#">listen</a> ; <a href="#">history</a> ; <a href="#">text</a> ; <a href="#">internal format</a> )	
OFFSET	1,1	
LINKS	<a href="#">Table of n, a(n) for n=1..6.</a>	
EXAMPLE	12 is the atomic number of magnesium.	
CROSSREFS	Cf. <a href="#">A099955</a> , alkali metals; <a href="#">A101648</a> , metalloids; <a href="#">A101647</a> , nonmetals (except halogens and noble gases); <a href="#">A097478</a> , halogens; <a href="#">A018227</a> , noble gases; <a href="#">A101649</a> , poor metals. Sequence in context: <a href="#">A057317</a> <a href="#">A008068</a> <a href="#">A008183</a> * <a href="#">A301066</a> <a href="#">A008092</a> <a href="#">A316299</a> Adjacent sequences: <a href="#">A099953</a> <a href="#">A099954</a> <a href="#">A099955</a> * <a href="#">A099957</a> <a href="#">A099958</a> <a href="#">A099959</a>	
KEYWORD	nonn,fini,full	
AUTHOR	<a href="#">Parthasarathy Nambi</a> , Nov 12 2004	
STATUS	approved	

# Counting Sequences

Let  $a_{123}(n)$  be the number of copies of 123 in  $1 \oplus 21 \oplus \dots \oplus 21 (\oplus 1)$ .

$$a_{123}(n) = \begin{cases} 2\left(\frac{n}{2} - 1\right) + 8\binom{\frac{n}{2}-1}{2} + 8\binom{\frac{n}{2}-1}{3} & n \text{ even} \\ 4\binom{\frac{n-1}{2}}{2} + 8\binom{\frac{n-1}{2}}{3} & n \text{ odd} \end{cases}$$

2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, ...

[A168380](#)

Row sums of [A168281](#).

+20  
14

**2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688,** 816, 978, 1140, 1340, 1540, 1782, 2024, 2312, 2600, 2938, 3276, 3668, 4060, 4510, 4960, 5472, 5984, 6562, 7140, 7788, 8436, 9158, 9880, 10680, 11480, 12362, 13244, 14212, 15180, 16238, 17296, 18448, 19600, 20850, 22100 ([list](#); [graph](#); [refs](#); [listen](#); [history](#); [text](#); [internal format](#))

OFFSET

1,1

COMMENTS

The atomic numbers of the augmented alkaline earth group in Charles Janet's spiral periodic table are 0 and the first eight terms of this sequence (see Stewart reference). - [Alonso del Arte](#), May 13 2011

LINKS

Vincenzo Librandi, [Table of n, a\(n\) for n = 1..10000](#)  
Stewart, Philip, [Charles Janet: unrecognized genius of the Periodic System](#).  
Foundations of Chemistry (2010), p. 9.  
[Index entries for linear recurrences with constant coefficients](#), signature  
(2,1,-4,1,2,-1).

FORMULA

$a(n) = 2 \cdot A005993(n-1)$ .  
 $a(n) = (n+1) \cdot (3 + 2 \cdot n^2 + 4 \cdot n - 3 \cdot (-1)^n) / 12$ .  
 $a(n+1) - a(n) = A093907(n) = A137583(n+1)$ .  
 $a(2n+1) = A035597(n+1)$        $a(2n) = A002492(n)$ .



# Alkaline Earth Metals (Group 2)

Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	
1	1 H																		2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne	
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar	
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr	
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe	
6	55 Cs	56 Ba	57 La *	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn	
7	87 Fr	88 Ra	89 Ac *	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og	
				* 58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu		
				* 90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr		

# Permutation packing and electrons

A little chemistry...

- *Quantum numbers* describe trajectories of electrons.

# Permutation packing and electrons

A little chemistry...

- *Quantum numbers* describe trajectories of electrons.
  - ▶  $n$  (principal number) determines the electron shell

$$n = 1, 2, 3, \dots$$



# Permutation packing and electrons

A little chemistry...

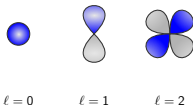
- *Quantum numbers* describe trajectories of electrons.

- ▶  $n$  (principal number) determines the electron shell

$$n = 1, 2, 3, \dots$$

- ▶  $\ell$  (orbital angular momentum) determines the shape of the orbital

$$0 \leq \ell \leq n - 1$$



# Permutation packing and electrons

A little chemistry...

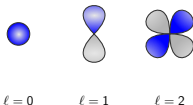
- *Quantum numbers* describe trajectories of electrons.

- ▶  $n$  (principal number) determines the electron shell

$$n = 1, 2, 3, \dots$$

- ▶  $\ell$  (orbital angular momentum) determines the shape of the orbital

$$0 \leq \ell \leq n - 1$$



- ▶  $m$  (magnetic number) determines number of orbitals and orientation within shell

$$-l \leq m \leq l$$

# Permutation packing and electrons

A little chemistry...

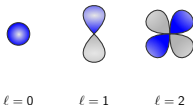
- *Quantum numbers* describe trajectories of electrons.

- ▶  $n$  (principal number) determines the electron shell

$$n = 1, 2, 3, \dots$$

- ▶  $\ell$  (orbital angular momentum) determines the shape of the orbital

$$0 \leq \ell \leq n - 1$$



- ▶  $m$  (magnetic number) determines number of orbitals and orientation within shell

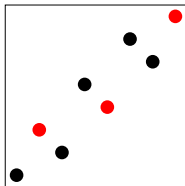
$$-l \leq m \leq l$$

- ▶ Two possible spin numbers for each choice of  $(n, \ell, m)$

## Notation for copies of 123 in $1 \oplus 21 \oplus \dots \oplus 21 (\oplus 1)$

Observation: copies of 123 come in pairs.

$$1 \oplus 21 \oplus \dots \oplus 21 \oplus 1$$

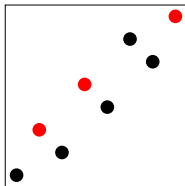


Given  $xyz$  embedding of 123 where  $y$  is even,  $x(y+1)z$  is also a 123.  
We will assign a tuple of integers to each such pair.

## Notation for copies of 123 in $1 \oplus 21 \oplus \dots \oplus 21 (\oplus 1)$

Observation: copies of 123 come in pairs.

$$1 \oplus 21 \oplus \dots \oplus 21 \oplus 1$$



Given  $xyz$  embedding of 123 where  $y$  is even,  $x(y+1)z$  is also a 123.  
We will assign a tuple of integers to each such pair.

## Copies of 123 mapped to tuples

$xyz$  corresponds to the tuple  $(n, \ell, m)$  where...

- $|m|$  is the layer where  $x$  is found (count layers starting with 0).
- $m$  is negative if we use the smaller entry in the layer as  $x$ , positive if we use the larger entry.
- $\ell$  is the layer of size 2 where  $y$  is found (count layers starting with 0).
- $n + \ell + 3 = z$ .

## Copies of 123 mapped to tuples

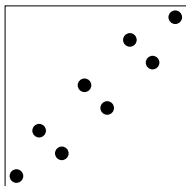
xyz corresponds to the tuple  $(n, \ell, m)$  where...

- $|m|$  is the layer where  $x$  is found (count layers starting with 0).
- $m$  is negative if we use the smaller entry in the layer as  $x$ , positive if we use the larger entry.
- $\ell$  is the layer of size 2 where  $y$  is found (count layers starting with 0).
- $n + \ell + 3 = z$ .

Example:  $1 \oplus 21 \oplus 21 \oplus 21 = 1 \quad 32 \quad 54 \quad 76$

copies	tuple	copies	tuple	copies	tuple
124,134	(1,0,0)	146,156	(2,1,0)	147,157	(3,1,0)
125,135	(2,0,0)	246,256	(2,1,-1)	247,257	(3,1,-1)
126,136	(3,0,0)	346,356	(2,1,1)	347,357	(3,1,1)
127,137	(4,0,0)				

## These tuples are valid quantum numbers



Layer 0 contains 1.

Layer  $L$  contains  $2L$  and  $2L + 1$ .

(For even length, the last layer has one point.)

Construction:  $xyz$  is a 123 occurrence with...

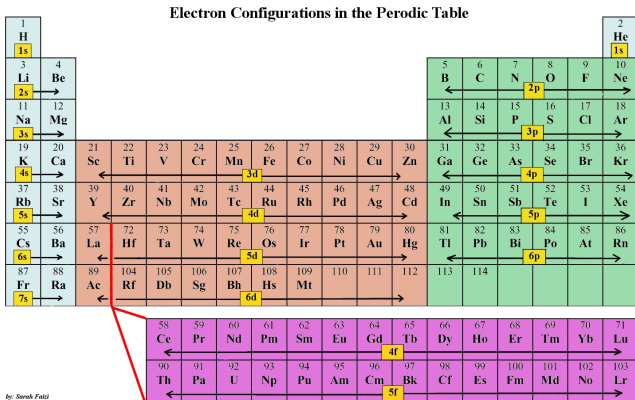
- $x$  in layer  $|m|$ ,  $y$  in layer  $\ell + 1$ , and  $z$  in layer  $\ell + 2$  or higher.

Consequences:

- $z \geq 2(\ell + 2)$  and  $n = z - \ell - 3$  imply  $n \geq \ell + 1 \geq 1$  and so  $\ell \leq n - 1$ .
- $x$  in an earlier layer than  $y$  implies  $|m| + 1 \leq \ell + 1$  and so  $|m| \leq \ell$ .
- A new  $\ell$  value is introduced for each even permutation length.



# Periodic Table, Take 2



Subshell is  $(n, \ell)$  with  $\ell$  given by  $s$  ( $\ell = 0$ ),  $p$  ( $\ell = 1$ ),  $d$  ( $\ell = 2$ ),  $f$  ( $\ell = 3$ ).  
e.g. Calcium has subshells with

$$(n, \ell) \in \{(1, 0), (2, 0), (2, 1), (3, 0), (3, 1), (4, 0)\}.$$

## 123s to electrons

e.g. Calcium has subshells with

$$(n, \ell) \in \{(1, 0), (2, 0), (2, 1), (3, 0), (3, 1), (4, 0)\}.$$

Subshell: Know  $n$  and  $\ell$ . Need all tuples  $(n, \ell, m)$  where  $-\ell \leq m \leq \ell$

## 123s to electrons

e.g. Calcium has subshells with

$$(n, \ell) \in \{(1, 0), (2, 0), (2, 1), (3, 0), (3, 1), (4, 0)\}.$$

Subshell: Know  $n$  and  $\ell$ . Need all tuples  $(n, \ell, m)$  where  $-\ell \leq m \leq \ell$

We saw the copies of 123 in  $1 \oplus 21 \oplus 21 \oplus 21 = 1325476$  are:

copies	tuple	copies	tuple	copies	tuple
124,134	(1,0,0)	146,156	(2,1,0)	147,157	(3,1,0)
125,135	(2,0,0)	246,256	(2,1,-1)	247,257	(3,1,-1)
126,136	(3,0,0)	346,356	(2,1,1)	347,357	(3,1,1)
127,137	(4,0,0)				

## Future Directions

- Determine  $d_\sigma(\rho)$  for other patterns.
- Joint distributions of patterns.
- Bijections between pattern embeddings and other combinatorial objects.

## References

- Michael H. Albert, M. D. Atkinson, C. C. Handley, D. A. Holton, and W. Stromquist, On packing densities of permutations, *Electronic Journal of Combinatorics* **9** (2002), R5.
- Reid Barton, Packing Densities of Patterns, *Electronic Journal of Combinatorics* **11** (2004), R80.
- Cathleen Battiste Presutti and Walter Stromquist, Packing rates of measures and a conjecture for the packing density of 2413, in *Permutation Patterns* (2010), S. Linton, N. Ruskuc, and V. Vatter, Eds., vol. 376 of London Mathematical Society Lecture Note Series, Cambridge University Press, pp. 287–316.
- Alkes Price, Packing densities of layered patterns, Ph.D. thesis, University of Pennsylvania, 1997.
- Lara Pudwell, From permutation patterns to the periodic table, *Notices of the American Mathematical Society* **67.7** (2020), 994–1001.
- The On-Line Encyclopedia of Integer Sequences, published electronically at <https://oeis.org>, 2020.

# Thanks for listening!

slides at [faculty.valpo.edu/lpudwell](http://faculty.valpo.edu/lpudwell)

email: [Lara.Pudwell@valpo.edu](mailto:Lara.Pudwell@valpo.edu)